

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
31 May 2001 (31.05.2001)

PCT

(10) International Publication Number  
**WO 01/38244 A1**

(51) International Patent Classification<sup>7</sup>: C03B 37/02,  
37/027, G02B 6/22, H01S 3/067, G02B 6/10, C03B  
37/018

7GR (GB). SPEED, Ashley; 48 Elliot Rise, Hedge End,  
Southampton SO30 2RU (GB).

(21) International Application Number: PCT/US00/31902

(74) Agents: GARDNER, Kelly A. et al.; Scientific-Atlanta,  
Inc., Intellectual Property Department (ATL 4.3.517), 5030  
Sugarloaf Parkway, Lawrenceville, GA 30044 (US).

(22) International Filing Date:  
20 November 2000 (20.11.2000)

(81) Designated State (*national*): BR.

(25) Filing Language: English

(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).

(26) Publication Language: English

(30) Priority Data:  
09/448,101 23 November 1999 (23.11.1999) US

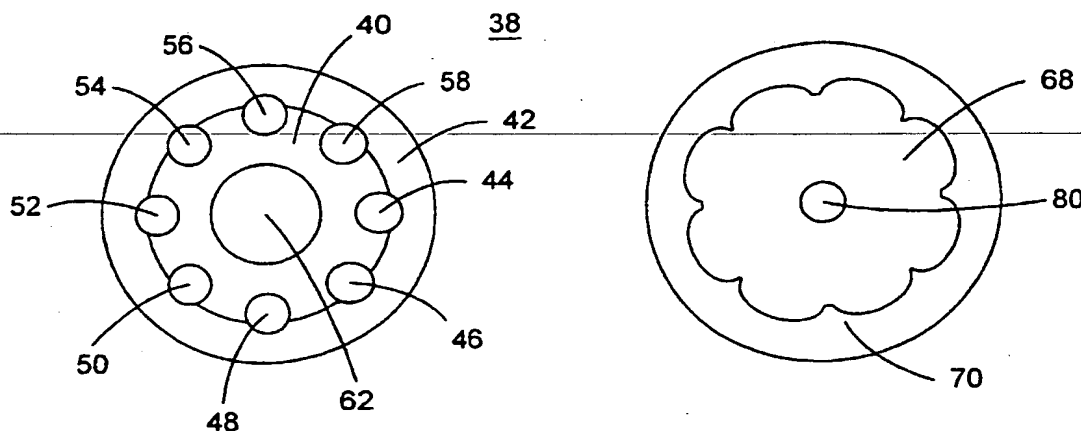
Published:  
— With international search report.

(71) Applicant: SCIENTIFIC-ATLANTA, INC. [US/US];  
Kelly A. Gardner, Scientific-Atlanta, Inc., Intellec-  
tual Property Department, 5030 Sugarloaf Parkway,  
Lawrenceville, GA 30044 (US).

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(72) Inventors: ANTHON, Douglas, W.; 925 Cherry Street,  
Wheaton, IL 60187 (US). EMSLIE, Chris; Gartref, Ro-  
man Drive, Chilworth, Southampton SO16 7HS (GB). MA-  
TON, Peter; 16 Camelia Grove, Fair Oak, Eastleigh SO50

(54) Title: OPTICAL FIBER WITH IRREGULARITIES AT CLADDING BOUNDARY AND METHOD OF ITS FABRICATION



(57) Abstract: An optical fiber (10) made with a central core (12), a first cladding layer (16), and a second cladding layer (18) having a series of perturbations or irregularities formed into the otherwise generally circular outer boundary of the first cladding layer (16). The irregularities in the first cladding layer (16) interrupt the propagation of skew rays and encourage coupling into the core (12). An intermediate cladding (14) may be provided between core and first cladding layer (16). A method of fabricatiNG the optical fiber is also disclosed, comprising, drilling a plurality of holes within the first cladding forming material and at the boundary thereof with the material forming the second cladding layer, drilling a central hole and inserting a preform core rod into said central hole. Drawing integrates the rod and drilled rod and the plurality of holes are collapsed, so forming an irregular, quasi-circular boundary between first and second cladding forming layers in the drawn fiber.

BEST AVAILABLE COPY

WO 01/38244 A1

## OPTICAL FIBER WITH IRREGULARITIES AT CLADDING BOUNDARY AND METHOD OF ITS FABRICATION

**INVENTORS:** DOUGLAS ANTHON  
CHRIS EMSLIE  
PETER MATON  
ASHLEY SPEED

**RELATED APPLICATIONS**

This patent application is a continuation-in-part of U.S. patent application serial no. 08/987,862 (attorney's docket no. A-2666), filed on December 9, 1997 by Anthon et al. and assigned to the assignee hereof.

**FIELD OF THE INVENTION**

The instant invention relates to double clad optical fiber optimized for use in, for example, fiber lasers and amplifiers, as well as methods of manufacture and uses therefor.

**BACKGROUND OF THE TECHNOLOGY**

Optical amplifiers, and in particular the optically-pumped erbium doped fiber amplifier (EDFA), are widely used in fiberoptic transmission systems (see, for example, E. Desurvire, Erbium Doped Fiber Amplifiers, Wiley, New York, 1994). In a typical device, a weak 1550 nanometer (nm) optical signal and a strong 980 nm pump signal, both propagating in single-mode optical fiber, are combined by means of a fused dichroic coupler into one single-mode fiber. This fiber is then coupled to a single-mode erbium-doped fiber where the erbium ions absorb the pump radiation and provide gain at the signal wavelength. The result is that the output of the EDFA is an amplified replica of the input signal. Such amplifiers are useful for overcoming the various losses that occur in any fiberoptic transmission system.

In a conventional fiber amplifier, the pump source consists of a laser diode operating in a single transverse mode coupled to single-mode optical fiber. The power density at the output facet of the pump laser limits the amount of optical power that can be obtained from such devices. To increase the diode output power, it is necessary to increase the emitting area of the diode. Unfortunately, when this is done, the transverse mode structure of the resulting broad area laser becomes multimode, and the laser output is no longer sufficiently coherent to be coupled into a single-mode fiber. Such a diode output can, however, be coupled into a multimode fiber to provide an essentially incoherent source for pumping the amplifier. Such multimode fibers are typically round, since this shape is easier to fabricate than any alternative shape.

In a variation of this design, ytterbium may be added to the fiber (as taught in, for example, U.S. patent no. 5,225,925, to Grubb et al. issued July 6, 1993). In the optimized fiber disclosed in the '925 patent, energy absorbed by the ytterbium ions is efficiently transferred to the erbium ions. This results in a fiber with a much stronger, broader absorption than can be obtained in a singly-doped erbium fiber. An amplifier made from such fiber (a ytterbium-erbium doped fiber amplifier, or YEDFA), can be pumped with longer wavelength sources, such as a diode-pumped neodymium laser (see Grubb et al., Electronics Letters, 1991); output powers in excess of 4 watts (W) have been reported (Grubb et al. paper, TuG4 OFC 1996). The wavelengths of neodymium lasers used for this purpose has varied from 1064 nm in Nd:YAG to 1047 nm in Nd:YLF. Over this range in a typical fiber, the Yb absorption varies from 2 to 7 dB/m. For comparison, in the same fiber at 950 nm the absorption was 420 dB/m, and, at 975 nm, it was 2500 dB/m.

Techniques also exist for pumping an amplifier directly with multimode diodes. U.S. patent no. 3,808,549, issued April 30, 1974, to Mauer discloses a design in which a small, strongly absorbing, single-mode core is embedded in a large, multimode waveguide. With all modes excited, the optical power density in such a double clad waveguide is nearly uniform across the waveguide aperture. Under these conditions, the average absorption coefficient is approximately equal to the absorption coefficient of the core, normalized by the area ratio of the two waveguides. Radiation propagating in modes that overlap the doped region will be preferentially absorbed, and some form of mode mixing is often required to maintain the uniform power distribution required to ensure that all the power in the multimode waveguide will eventually be absorbed by the core.

Using a double clad design of this type, Minelly et al. (IEEE Photonics Technology Letters, 5(3), 301-303, 1993) demonstrated a YEDFA pumped with a broad area laser diode. Minelly et al. used bulk optics to couple the output of a laser diode array to the double-clad fiber. Geometries using fused or reflective couplers similar to those used for conventional single-mode amplifiers can also be used. With some modification, for example, the filter wavelength division multiplexer (FWM) made by E-Tek Dynamics, Inc. of San Jose, CA could be used as a multimode coupler. This, combined with a double clad gain fiber, would permit a multimode-pumped amplifier to be built in the same geometry as the conventional EDFA described above.

The fiber shown by Mauer was round with a concentric core, as was the fiber used by Minelly et al. This is a very inefficient shape for a double clad device. As noted by Snitzer et al. (U.S. patent nos. 3,729,690, April 24, 1973 and 4,815,079, March 21, 1989), in a double clad fiber with radial symmetry, many of the modes in the multimode waveguide do not interact with, and are not absorbed by, a concentric core. This phenomenon can also be described by

geometrical optics, where it would be observed that the vast majority of the guided rays are skew rays that never pass through the core. This problem is a result of radial symmetry and can be eliminated by perturbations that break this symmetry. Snitzer et al. proposed the use of an off-center, circular waveguide as well as a rectangular guide with two different transverse dimensions. Additionally, Lewis et al., in U.S. Patent No. 5,418,880, and Muendel, in U.S. Patent No. 5,533,163, teach the use of various space filling polygons. Such shapes are limited to triangles, certain symmetric quadrilaterals, and regular hexagons.

The techniques used to make fibers with these shapes generally resulted in polymer-clad fibers that were not round or which had a single-mode core that was not concentric with the fiber. Polymer-clad fibers are less stable thermally and mechanically than silica fibers, and they can be easily damaged by the pump radiation. Non-concentric fibers are difficult to align and splice. The fact that the fibers are not round makes it difficult to combine these fibers with more standard fiber components and to exploit the existing infrastructure of tools such as fiber cleavers, splicers, and ferrules that are optimized for use with round optical fibers. For practical applications, it is important to utilize a shape that can be surrounded by a thickness of low-index silica outer cladding with a round outer diameter. Typical 100/125 multimode fiber has a 12.5 micron ( $\mu\text{m}$ ) cladding thickness. The maximum outer radius of the waveguide is constrained by the desired outer diameter minus the cladding thickness.

Multimode pump sources and couplers are also optimized for round fiber. To efficiently couple a round multimode pump fiber to a non-circular gain fiber, it is important that the pump fiber diameter be less than or equal to the minimum inner diameter of the low-index silica outer cladding. Any radial perturbation in such fibers will be constrained to an annular region whose inner diameter is limited by the pump fiber diameter and whose outer diameter is limited by the fiber outer diameter and cladding thickness. The constraints on actual fibers are such that the radial dimension of the waveguide can only vary by  $\pm 10\%$ , with values as small as  $\pm 5\%$  being preferable in some cases. There is therefore a need for fibers that appear externally as round, concentric, all-silica fibers, but which nonetheless have been sufficiently perturbed to allow efficient double-clad absorption to occur.

Finally, it is important to recognize that double clad fibers are not truly single mode fibers. The same perturbations that allow efficient absorption also ensure that many guided modes at the signal wavelength will have appreciable overlap with the fiber core. This is often not a problem in a fiber laser, because the modes that oscillate will be those that most efficiently overlap the core region. However, in an amplifier, signal power that is coupled into the multimode waveguide could give rise to signal distortions when this signal is accidentally

amplified and coupled back into the output signal. There is clearly a need for fibers that prevent these parasitic processes from occurring.

Accordingly, there exists a need for enhanced optical fibers in which guided rays propagating along the length of the fiber are passed through the fiber core. The enhanced fiber should retain the preferred round shape to remain compatible with other fiber components, as noted above. Likewise, the core of the fiber should preferably be substantially in the center of the fiber.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a cross sectional illustration of an optical fiber having a core and three claddings, in accordance with the present invention;

FIGs. 2A and 2B illustrate the propagation of light rays in a conventional round optical fiber;

FIGs. 3A-3C are a series of cross sectional illustrations demonstrating steps for producing a noncircular waveguide embedded in a round glass fiber; and

FIGs. 4A-4D are a series of cross sectional illustrations demonstrating steps for an alternative method of producing a noncircular waveguide embedded in a round glass fiber.

#### **DETAILED DESCRIPTION OF THE INVENTION**

While the specification concludes with claims defining the features of the invention that are regarded as novel, it is believed that the invention will be better understood from a consideration of the following description in conjunction with the drawing figures, in which like reference numerals are carried forward.

A conventional all-silica double-clad fiber consists of a doped core with a diameter less than 10  $\mu\text{m}$  and a numerical aperture greater than 0.10, surrounded by a fused silica multimode waveguide with a diameter near 100  $\mu\text{m}$  and a numerical aperture ( $NA$ ) of 0.22, defined by an outer cladding of fluorine doped silica having a refractive index ( $n$ ) equal to  $n_{\text{silica}} - 0.017$ , where  $n_{\text{silica}}$  represents the refractive index of undoped fused silica.

In the case of YbEr fibers, the optimum area for the multimode waveguide depends on the pump wavelength and the desired performance. For 1047 nm-like performance with a 950 nm pump, the area ratio would have to be 60. For 1064 nm-like performance with a 975 nm pump, an area ratio of 1250 would be acceptable. A typical value of 100 is consistent with typical pump diodes, single-mode core diameters, and multimode fiber numerical apertures. For example, the core diameter of a typical single-mode fiber at 1550 nm (Corning SMF-28) is 8.3  $\mu\text{m}$ , leading to a multimode waveguide diameter of 83  $\mu\text{m}$ . A typical 1 Watt (W) laser diode has

an aperture of 100 mm and a numerical aperture of 0.13; assuming a (typical) silica multimode fiber numerical aperture of 0.22, this can be focused into a 60  $\mu\text{m}$  fiber. The difference between 60  $\mu\text{m}$  and 83  $\mu\text{m}$  can be used to accommodate any mechanical tolerances and aberrations in the coupling optics.

5       The choice of core size is dependent upon which one of many attributes one wishes to emphasize in a particular application. In low power amplifiers, it is usually desirable to minimize the core diameter,  $D$ , in order to reduce the saturation power to the lowest possible value. This maximizes efficiency and allows the amplifier to operate with low input powers. In a high power amplifier, particularly one used for video applications, the input signal is usually  
10 much larger than the amplifier saturation power, and the issue of core size is less important. In fact, it is often desirable to maximize the core size to reduce the possibility of optical damage and to simplify splicing to conventional fibers. The optimum core size is then limited by the bending losses associated with large, low numerical aperture ( $NA$ ) fibers. Since this is the same issue that determines optimum core sizes in standard telecommunications fiber, it appears that a similar  
15 fiber size (e.g.,  $D = 8.3 \mu\text{m}$  and  $NA = 0.12$  for SMF-28) would be optimal. In the case of double clad amplifiers, maximizing the doped core area maximizes the allowable size of the multimode waveguide, which in turn allows the use of larger, more powerful pump diodes and less demanding alignment tolerances.

To maximize the diameter of the doped core, it is necessary to maximize the diameter of  
20 the fundamental mode. In ordinary single mode fiber, this is done by making a larger core with a smaller numerical aperture. The usual way of doing this is to reduce the refractive index of the core. Unfortunately, in YbEr co-doped fibers, the refractive index of the core is fixed at  $n_1 = n_{\text{silica}} + 0.013$  by the phosphorous and rare earth doping requirements. With a silica cladding, this gives a numerical aperture of approximately 0.20, resulting in a single mode waveguide  
25 diameter of 5.5  $\mu\text{m}$  for cutoff at 1450 nm. In principle, increasing the refractive index of the cladding would reduce the numerical aperture. Decreasing the  $NA$  to a value of 0.12 would require a cladding index of  $n_2 = n_{\text{silica}} + 0.008$ . In a typical geometry, where the core is prepared by modified chemical vapor deposition (MCVD) and solution doping, this would suggest the use of a doped starting tube with a larger-than-normal refractive index.  
30 Unfortunately, such starting tubes are not readily available, so such an approach is not immediately practical.

A more practical alternative for increasing the core area is to consider a multilayer core configuration. Referring now to FIG. 1, there is illustrated therein a cross-sectional view of an optical fiber 10 having a core 12, and three claddings 14, 16, and 18, respectively. Each of the  
35 core and respective claddings will be discussed in greater detail below. As illustrated in FIG. 1, a

layer of doped material corresponding to cladding 14 may be deposited between cladding 16, such as a silica starting tube, and the YbEr doped core 12. If this layer of doped material is thick enough and is fabricated from the proper materials, then the properties of the fundamental radiation mode will be determined only by the core index and the surrounding index pedestal.

More particularly, the core 12 may be fabricated of any of a number of core materials known in the art, preferred materials being YbEr doped optical fibers that may further include a doping material such as phosphorous and/or cerium, among others. One preferred function of the first cladding 14, also referred to as the pedestal, is to have a higher index of refraction than the silica starting tube (cladding 16), though less than the core 12. The first cladding region 14 can include one or more layers of doped material, for example, a first doped layer 20 and a second doped layer 22, as shown. As such, layers 20 and 22 may be fabricated of any one or more materials, such as germanium-doped silica, that are well known in the art.

Layer 22 may have absorptive properties or may be adapted to perform other functions. Hence, in FIG. 1, layer 22 may be fabricated of a material that absorbs or strips undesirable modes of light. For example, layer 22 may be fabricated of a cobalt containing material. Other functions may be performed by, and other materials may be included in, both layers 20 and 22. It is also important to note that cladding 14 may be eliminated in certain applications.

Disposed about cladding 14 is second cladding 16, which can be fabricated of a layer of pure or modified silica material 24 or other material as is well known in the art. The third cladding layer 18 is disposed about the outside of the second cladding layer 16 and is typically fabricated of a fluorine doped silica material 26. The third cladding layer 18 is the outer covering for the optical fiber.

Typical refractive index profiles for the core and claddings are also shown below and in FIG. 1.

	CORE	Cladding 14	Cladding 16	Cladding 18
Diameter in $\mu\text{m}$	8.3	20	90	125
Refractive Indices	1.457	1.453	1.444	1.427

The optical modes typical of the structure of FIG. 1 can be calculated from the usual Bessel functions, and the effective indices and power densities can be calculated for each mode.

The modes can be labeled by their effective indices as core modes ( $n_1 > n_{\text{eff}} > n_2$ ), pedestal modes ( $n_2 > n_{\text{eff}} > n_3$ ), and waveguide modes ( $n_3 > n_{\text{eff}} > n_4$ ). The latter two groups are

collectively referred to as cladding modes, and  $n_{\text{eff}}$  refers to the effective refractive index of each.

Table 1 gives the effective refractive indices and the distribution of the modal power or modes (HE) in both the core and pedestal modes, as well as in the region defined by layer 20.

- 5 The fraction of the power in this region can be used to estimate how losses in this region will affect the various modes.

Table 1. Properties of the core and pedestal modes at 1550 nm for the fiber shown in FIG. 1.

	$n_{\text{eff}}$	Core $0 < r < 4.15$	Pedestal $4.15 < r < 10$	Edge $8 < r < 10$
HE <sub>11</sub>	1.45407	0.7783	0.2208	0.0053
HE <sub>21</sub>	1.45084	0.3428	0.6400	0.0960
HE <sub>12</sub>	1.44876	0.1642	0.7484	0.3014
HE <sub>31</sub>	1.44820	0.0807	0.8477	0.2520
HE <sub>22</sub>	1.44652	0.3049	0.5226	0.3269
HE <sub>41</sub>	1.44596	0.0158	0.8336	0.3710

- 10 The fundamental (HE<sub>11</sub>) mode is well-approximated by a Gaussian with a  $1/e^2$  radius  $w_0 = 5.3$  mm, which is nearly identical to conventional single-mode fiber, such as SMF-28. However, the fiber is single-mode only in that there is only one guided core mode and that its  $n_{\text{eff}}$  is sufficiently different from the other modes that any direct form of mode coupling is unlikely. It is not a true single-mode fiber because of the existence of guided cladding modes.
- 15 The fact that some of these modes have appreciable overlap with the core 12 can complicate the performance of an amplifier using this fiber.

- One potential difficulty created by the higher order guided modes is coupling loss. If there were appreciable mismatch between the fundamental modes of the two fibers, then there would likely be significant power coupled to higher order modes. In the fiber described here,
- 20 however, the pedestal region has been designed to produce a fundamental mode that very closely resembles that of conventional single-mode fiber. Mode overlap arguments suggest that most of the incident power will be coupled to the fundamental mode. Nonetheless, fiber misalignments and imperfections will always result in some power being coupled into other guided modes. This power is likely to be concentrated in modes such as the HE<sub>22</sub> mode, which, as can be seen in
- 25 Table 2, has appreciable overlap with the core region.

In an amplifier, these modes are likely to experience appreciable gain. The difficulty with this is that the amplified pedestal signal can be remixed with the fundamental mode signal



by imperfections in the output splice. This has the potential for introducing multipath distortions into the optical signal, something that is usually intolerable in, for example, a video system. Other problems, such as gain depletion by cladding mode ASE, may also occur, but they are likely to be less significant than multipath signal distortion.

5           One way of avoiding this problem is to selectively attenuate the pedestal modes at the signal wavelength. As shown in Table 1, the fundamental mode intensity decreases rapidly outside the core region and is almost negligible in the edge regions of the pedestal. Most of the higher order modes have significantly higher power density in this region. If the edge region, i.e., layer 20, is doped, as described above, to be absorbing at 1550 nm, then the higher order  
10       modes will be attenuated without perturbing the fundamental mode. This absorbing region will perform a function similar to that of the mode stripping coatings on conventional single-mode fiber and will make the double clad fiber effectively single-mode at 1550 nm.

          The choice of dopant is limited by the need for transparency at 950 nm. Dopants with the correct spectroscopic properties include  $\text{Tb}^{3+}$ ,  $\text{Co}^{2+}$ ,  $\text{OH}^-$ , and  $\text{B}_2\text{O}_3$ . To minimize the  
15       doping level and resulting index perturbation, a strongly absorbing dopant is desirable. Of the dopants listed, either  $\text{Co}^{2+}$  or  $\text{Tb}^{3+}$  appear to have the strongest contrast ratio (see Ainslie et al., J. Lightwave Technology, 6, 287-293, 1988), while  $\text{Co}^{2+}$  is the most strongly absorbing.  $\text{Co}^{2+}$  is the ion used in filter glasses such as Schott BG-3, which attenuate at 1550 nm with negligible loss at 950 nm. In fused silica, the absorption has a value of 0.4 dB/m/ppmw. (P.C. Schultz, J.  
20       Am. Ceram. Soc., 57, 309, 1974). A  $\text{Co}^{2+}$  concentration of approximately 100 ppmw in the edge region would give a loss of approximately 0.2 dB/m for the fundamental mode, 3.8 dB/m for the  $\text{HE}_{21}$  mode, and much higher losses for the other modes. This would appear to be consistent with use in an amplifier with a few meters of fiber.  $\text{Co}^{2+}$ -induced loss at 950 nm is expected to be less than 0.01 dB/m.

25            $\text{Co}^{2+}$  can be introduced into the preform using conventional solution doping techniques. The existence of volatile compounds like  $\text{Co}(\text{CO})_3\text{NO}$  suggests that MCVD may ultimately be possible. A solution doped fiber would presumably be made by depositing a germanosilicate or aluminosilicate frit on the inside of the starting tube. After solution doping and sintering, this layer would have, for example, a  $\text{Co}^{2+}$  concentration of approximately 100 ppmw and a  
30       refractive index equal to that of the germanosilicate layers that are then added to make the

Potential problems include variations in the  $\text{Co}^{2+}$  doping, index mismatches between the  $\text{Co}^{2+}$  doped region and the rest of the pedestal, and the possibility of  $\text{Co}^{2+}$  migration into regions where it will attenuate the fundamental mode.

The  $\text{Co}^{2+}$  doped absorbing region of layer 20 can be used to eliminate problems at the signal wavelength, but there are also potential problems at the pump wavelength. The pedestal forms a waveguide with radial symmetry, and the higher order modes do not interact strongly with the core. Keeping the pedestal small minimizes this problem. All the modes in Table 1 have more than 1% of their power in the core region, so their absorption efficiency is no worse than for an average cladding mode. However, any further increase in the pedestal diameter would result in a group of modes with greatly reduced core interactions. This solution may not be possible for the waveguide modes, where a much larger waveguide area is required. Instead, it may be necessary to eliminate the radial symmetry of the waveguide.

Referring now to FIG. 2, there is identified therein the propagation of light rays, e.g., 30, in a radially symmetric step-index fiber. FIGs. 2A and 2B illustrate propagation of the waveguide modes in a round outer cladding fiber 32, which is the starting point for analyzing the nonsymmetric geometries described below. The two angles  $\theta_z$  and  $\theta_\phi$  are defined in the drawing and are conserved on reflection. The propagation distance between reflections is given by  $L_p = 2r \sin \theta_\phi / \sin \theta_z$ , and the distance of closest approach to the core is given by  $r_{ic} = r \cos \theta_\phi$ . For a given value of  $\theta_z$ , ray propagation can be characterized by a ray trace in the projection plane where it can be seen (in FIG. 2B) that  $r_{ic}$  is invariant for a round fiber.

The modes of this fiber can also be described. Ignoring polarization effects, and assuming a low enough  $NA$  to allow the weak guidance approximation to be valid, the bound modes in the core are of the form  $\Psi_{lm}(r, \phi) = J_l(r/r_{lm}) \exp(-il\phi)$ , where  $J_l(r/r_{lm})$  is the Bessel function of the first kind of order  $l$ , where  $l$  can have positive or negative values, and where  $\phi \equiv \theta_\phi$ . Each value of  $l$  is associated with a set of boundary conditions that determine the radii  $r_{lm}$ , where  $m$  is used to distinguish the multiple solutions that can exist for a single  $l$ . All the modes with  $l > 0$  have zeros at  $r = 0$ , and the overlap with an absorbing core bounded by some small value of  $r$  decreases rapidly with  $l$ . The total number of bound modes is finite, and it scales with the area and  $NA$  of the fiber.

Perturbed fiber shapes with modes that more strongly overlap the core can be found, even with the constraint of a round pump beam and a round outer fiber. Perturbations can be defined in the annular region defined by an inner radius  $r_0$  equal to the radius of the pump fiber, and an outer radius of  $r_0 + \delta r_{\max}$ , equal to the outer fiber radius minus the cladding thickness. In fibers that can be manufactured in practical manufacturing processes, a range of  $0.05 < \delta r_{\max}/r_0 < 0.20$  is typical.

Modes can be calculated for a few specific waveguides. For example, consider  $\delta r(\phi) = r_0 [1 - \sec((1/2)\sin^{-1}(\sin(2\phi)))]$ , corresponding to a square waveguide, with  $\delta r_{\max}/r_0 = 0.41$ . The modes are of the form  $f(x)g(y)$ , where  $f$  and  $g$  are sines or cosines. Because of the cosine terms, 25% of the modes have maxima at the fiber center. This is not ideal because the remaining 75% of the modes have minima at the center and will not be absorbed without additional mode mixing. However, this shows how lower symmetry can enhance absorption.

If  $\delta r_{\max}$  is small, perturbation theory can be used to express the modes as linear combinations of the modes of the radially symmetric fiber. Absorption will be enhanced when modes with smaller values of  $l$  modify unperturbed modes with a large value of  $l$ . The mixing of

modes differing by  $\Delta l$  is determined by overlap integrals of the form  $\int_0^{2\pi} \delta r(\phi) \exp(-i\phi \Delta l) d\phi$ ,

which, with  $\delta r(\phi)$  described by a real Fourier series,  $\delta r(\phi) = \sum_{j=-\infty}^{\infty} c_j \exp(ij\phi)$ , with  $c_j^* = c_{-j}$ ,

become proportional to  $c_{\Delta l}$ . Thus, the absorption in these fibers is determined by the spatial frequencies of the radial perturbations in the fiber, that is, by the roughness of the interface.

This perturbation approach is impractical for all but very small values of  $\delta r(\phi)$  because it requires detailed enumeration of all the modes of the fiber. Larger perturbations can be best analyzed using geometric optics. In terms of ray optics, the perturbations that couple modes with different values of  $l$  are equivalent to perturbations that cause rays to change values of  $r_{ic}$ . For absorbing fibers, the important parameter is the propagation interval in which all rays that start with large values of  $r_{ic}$  pass at least once through small values of  $r_{ic}$ . Since  $\theta_z$  is conserved in a straight fiber, the path length in the projection plane is proportional to the propagation length. Thus, the propagation of rays can be characterized by a two dimensional ray trace in the projection plane, with the appropriate figure of merit being related to the path length in this plane normalized to  $r_0$ . Practical evaluation of fiber shapes can be achieved by considering an

ensemble of rays launched with large  $r_{ic}$  (for example,  $r_{ic} > 0.9 r_0$ ) and then propagating these rays in the plane until a large fraction (for example, 90%) of the rays has passed at least once along a trajectory with a small value of  $r_{ic}$  (for example,  $r_{ic} < 0.1 r_0$ ). The figure of merit  $L_{90}/r_0$  for the perturbation is then equivalent to the normalized path length in the projection plane required to achieve this.

The first shapes to consider are regular polygons. A simple analytical model can be derived by noting that, for a regular polygon with  $N$  sides, each reflection changes the skew angle of a ray from  $\phi$  to something in the range  $\phi \pm \pi/N$ . After  $M$  uncorrelated reflections, this evolves into a Gaussian distribution centered at  $\phi$  with standard deviation of  $\sigma = (\pi/N)(M/3)^{1/2}$ . When  $\sigma > \pi/2$ , or when  $M \gg 3N^2/4$ , this corresponds to uniform illumination that is independent of the

original value of  $\phi$ . Consequently, one might expect that  $L_{90}/r_0$  will increase as the square of the number of sides.

Table 2 gives values of  $L_{90}/r_0$  as a function of the number of sides calculated on the basis of 200 rays launched with  $r = 0.95 \pm 0.05$ . As Monte Carlo simulations, these results contain appreciable uncertainties, but they give an idea of the relative performance of the different shapes. One observation is that the performance of the triangular and pentagonal shapes is nearly identical. A second observation is that while  $L_{90}/r_0$  increases with the number of sides, it does not approximate a smooth quadratic function. Although the even and odd values larger than 4 scale approximately quadratically, the even-sided polygons appear to be twice as efficient as their odd-sided counterparts. Furthermore, the calculated values for the three- and four-sided figures are significantly larger than the values extrapolated from larger polygons.

**Table 2.** Figures of merit for mode mixing in regular polygonal fibers.

Number of sides	3	4	5	6	7	8	9	10
$L_{90}/r_0$	54	46	56	42	119	73	184	132
$\delta r_{\max}/r_0$	1.00	0.414	0.236	0.155	0.110	0.082	0.064	0.051

Polygons are not especially well adapted to the problem of making all-silica nearly-round fibers with small values of  $\delta r_{\max}$ . The first polygon to have  $\delta r_{\max}/r_0 < 0.1$ , for example, is an octagon. This is a potentially useful shape since it gives a figure of merit only slightly worse than that of the triangular fiber. Furthermore, the fiber has a centered core and has equal radii across any two perpendicular directions. These features make this shape distinctively different from those considered by Snitzer et al. and Po et al.

The problem with this shape is fabrication. Polymer clad rectangular fibers have been made by grinding the preform to the desired shape and then drawing the fiber near enough its softening point that the shape is preserved. The polymeric cladding is then coated onto the fiber where it conforms to the existing shape. The equivalent fabrication process for an octagon is greatly complicated by the larger number of sides to be ground. It is also difficult to make all-silica polygonal fibers, since there is no readily apparent process analogous to the low-index organic coating that produces a conforming low index silica cladding.

One technique that is conventionally used to introduce asymmetry into fibers is gas-phase etching. This is the process used to produce "bow-tie" birefringent fiber. By etching a series of channels into the inside diameter of a low index tube and then collapsing the tube over a silica preform, a surface approximating a sinusoidal surface with  $\delta r(\phi) = (\delta r_{\max}/2) (1 - \cos[n\phi])$ , might be fabricated. (In this equation,  $n$  is a variable and does not represent the refractive index.)

For odd values of  $n$ , this fiber is "circular" in the sense that  $r(\phi) + r(\phi + \pi) = 2r_0 + \delta r_{\max}$  for all values of  $\phi$ . Values of  $L_{90}/r_0$  for this geometry with  $\delta r_{\max}/r_0 = 0.1$  are given in Table 3. For  $n = 1$ , the shape closely approximates an off-center circular fiber and, for  $n = 2$ , the shape closely approximates an elliptical fiber with an eccentricity of 1.1. These shapes closely approximate the shapes recommended by Snitzer et al., and, in this case, neither one appears to work very well. On the other hand, the performance for  $n > 5$  can be as good or better than that obtained from the polygonal shapes.

Table 3. Figures of merit for mode mixing in sinusoidal fibers.

$n$	1	2	3	4	5	6	7	8	9	10
$L_{90}/r_0$ for $\delta r_{\max} = 0.1r_0$	>999	>999	>999	163	60	37	48	39	40	46

Unfortunately, the gas-phase etching technique is best suited to a two or three lobe figure, and it would be quite difficult to fabricate the more-efficient shapes with larger values of  $n$ . Alternative shapes that are more readily fabricated would be desirable.

Referring now to FIGs. 3A-3C, there is illustrated a process by which asymmetries can be introduced into optical fibers, such as that identified in FIG. 1, with rod-in-tube fabrication techniques. This technique is used, for example, to produce birefringent fibers. FIG. 3A shows a conventional preform 38 for an all silica multimode fiber. In this preform 38, an inner silica region 40 is overlaid with a layer of fluorine doped silica 42. Such preforms are available commercially from Hereus.

In FIG. 3B, eight holes 44-58, each with a radius of, for example,  $r_b = 0.2r_0$  and  $\delta r_{\max}/r_0 = 0.1$ , have been made in this preform 38 using, for example, an ultrasonic mill. The holes 44-58 may be circular holes as illustrated in FIG. 3B, or they can approximate other shapes. The holes are simply present to introduce irregularities into the shape of preform 38 at the boundary between the two regions 40, 42 of material. Undoped silica rods may then be inserted into these holes to produce the profile shown in FIG. 3C.

A circular hole 62 can also be drilled longitudinally through the center of the preform 38 for insertion of core material, which can be in the form of another preform. By way of example, another preform comprising a fiber core surrounded by a cladding layer, corresponding, respectively, to the core 12 and pedestal region 14 of FIG. 1, may be introduced into the center hole of preform 38. In this case, region 40 of the preform 38 would correspond to second cladding 16 (FIG. 1), and region 42 of the preform 38 would correspond to third cladding 18

(FIG. 1). It will be appreciated, however, that an unclad core preform could be inserted into preform 38 such that region 40 forms a first cladding layer.

The result is an optical fiber having a central core region appropriately doped to absorb radiation and provide gain at preselected wavelengths. Disposed around the core may be a first region adopted to modify or strip modes in light introduced into the core. Around the first region is disposed a cladding layer 40 formed of silica, for example, and having a radially constrained, quasi-circular outer boundary.

The term "radially constrained, quasi-circular" is used to indicate that the outside surface of the cladding layer 40 is generally round in cross-section and tubular overall, but, due to the pattern of predefined irregularities manufactured into the cladding, the outer boundary does not define a smooth, regular circle or tube. Instead, the outer boundary, while remaining quasi-circular, includes multiple, regularly spaced lobes for perturbing the outer boundary. Thereafter, disposed around the cladding layer 40 is an outer cladding layer 42 having a typical round outer boundary and also having an inner boundary which conforms to the outer boundary of the cladding layer 40.

This radially constrained, quasi-circular boundary between cladding layer permits easy alignment and processing with conventional fabrication/alignment equipment. On the other hand, prior art fibers including elliptical, rather than quasi-circular, boundaries between core and cladding layers or between two cladding layers can be extremely difficult to process using conventional equipment, rendering such prior art fibers impractical for use, especially in volume applications.

The effectiveness of the design illustrated in FIG. 3 is determined by both the size and number of holes. Accordingly, the instant invention is not limited by the number of holes, the hole sizes, nor the symmetry of their arrangement. Rather, any number of variably sized holes may be appropriate for a given fiber application. For example, highly symmetric fibers with many small holes may be optimum in cases where stress-induced polarization needs to be minimized, while less-symmetric fibers with fewer, larger holes may be optimum in cases where cost of fabrication is paramount. Table 4 gives calculated figures of merit for different hole arrangements.

For a given hole size, the figure of merit decreases almost linearly with the number of holes. This is to be expected since any reflection from the original round surface causes no change in  $r_{ic}$ . Since each new hole or irregularity reduces the amount of unperturbed surface, it also reduces the time that each ray spends "idling" at a particular value of  $r_{ic}$ . Larger holes also contribute to this effect because each hole occupies a larger fraction of the fiber circumference. However, for a given circumference, a larger number of smaller holes is more effective, as can be seen by comparing the bracketed values in Table 4.

Table 4. Figures of merit for different hole diameters in the geometry shown in FIG. 3 with  $\delta r_{\max}/r_0=0.1$ .

Number of holes $\delta r_{\max}=0.1r_0$	1	2	3	4	5	6	7	8	9	10
$L_{90}/r_0$ for $r_b/r_0 = 0.1$	610	303	242	143	107	133	129	131	110	[72]
$L_{90}/r_0$ for $r_b/r_0 = 0.2$	685	255	221	163	[136]	109	86	75	73	76
$L_{90}/r_0$ for $r_b/r_0 = 0.3$	494	238	[155]	112	93	75	73	60	(58)	(52)
$L_{90}/r_0$ for $r_b/r_0 = 0.4$	324	169	126	107	88	(53)	(46)	(50)	(45)	
$L_{90}/r_0$ for $r_b/r_0 = 0.5$	317	169	110	(80)	(69)	(58)	(56)	(51)		

The values in parentheses, (), cannot be fabricated by rod-in-tube methods due to interference between adjacent rods. Values in brackets, [], represent shapes with approximately equal unperturbed circumferences. As shown, smaller values correspond to better performance.

It can be inferred from the data in Table 4 that the performance of the fibers improves with the number of holes, and that if enough small holes are used, the performance will match or exceed that of the best of the polygonal fibers. Unfortunately, there are limits to the size and number of holes that can be made. Many of the best values in Table 4 are in parentheses, indicating that they are fabricated by commercially impractical methods and that they cannot be fabricated by rod-in-tube methods due to interference between adjacent rods. Other potentially efficient combinations are not even shown because they require an impractically large number of holes. More elaborate (and costly) fabrication techniques can be imagined in which one set of rods is inserted and the preform fused before a second set of holes are made, but such techniques may well be impractical.

There are, however, several feasible combinations of hole sizes and diameters that can be used to achieve performance similar to that observed previously with the octagon. In particular, a set of eight (8) holes with  $r_b/r_0=0.2$  closely mimics the performance of an octagon, including the properties of a centered core in a fiber that does not have differing orthogonal radii. The combinations involving fewer, but larger, holes may be easier to fabricate, simply because there are fewer holes to make. In particular, the combination of four (4) holes with  $r_b/r_0=0.4$  may be a more practical, if somewhat less efficient, alternative. If space is available, the value of  $\delta r_{\max}/r_0$  to 0.2 can be increased to improve the efficiency somewhat, as shown in Table 5.

Table 5. Figures of merit for the geometry shown in FIG. 3 with  $r_b/r_0 = 0.4$  and  $\delta r_{\max}/r_0 = 0.2$

Number of holes $\delta r_{\max} = 0.2r_0$	1	2	3	4	5	6	7	8	9	10
$L_{90}/r_0$ for $r_b/r_0=0.4$	303	160	108	71	71	(61)*	(55)*			

\* (The values in parentheses, ()), cannot be fabricated by rod-in-tube methods due to interference between adjacent rods.)

FIGs. 4A-4D illustrate a similar fabrication technique that can be used to introduce irregularities into the shape of a preform 65. According to this technique, a standard multimode preform 65, comprising, e.g., an undoped fused silica inner region 68 and a fluorine doped outer layer 70, is obtained. An ultrasonic drill is used to drill equally spaced holes 72-84 immediately inside the boundary between inner region 68 and layer 70. By way of example, eight holes, each having a diameter of about 10% of the preform diameter, can be drilled on circle at about 60% of the preform diameter, leaving a small amount of silica material between the holes and the boundary between region 68 and layer 70 to protect the fluorosilicate glass of the outer layer 70. Preferably, the distance between each hole and the boundary between cladding layers 68, 70 is approximately 1-2% of the preform diameter.

Next, a hole (FIG. 4B) of approximately 40% of the preform diameter is drilled in the center of the preform 65 for insertion of a single mode preform 80 that will subsequently form the core of the fiber. The single mode preform 80 has been prepared in a conventional fused silica tube using a conventional modified chemical vapor deposition (MCVD) technique. In some cases, it may be desirable to etch the preform 80 with hydrofluoric acid for control of the final diameter.

The preform 65 is then drawn to result in shapes similar to those shown in FIGs. 4C and 4D. The shape of the indentations that form in the boundary between cladding layer 68 and cladding layer 70 depends upon the thickness of the silica layer between each hole and cladding layer 70 before the preform 65 is drawn. FIG. 4C is representative of the shape provided when the thickness of the silica layer between each hole and cladding layer 70, before the preform 65 is drawn, is about 1% of the preform diameter. FIG. 4D is representative of the shape provided when the thickness of the silica layer between each hole and cladding layer 70, before the preform 65 is drawn, is about 2% of the preform diameter.

As the fiber is radially heated during the drawing process, the thinner (e.g., 1% thickness) silica bridge layer apparently buckles at its center to produce the narrow, deep indentations of FIG. 4C. When the thicker (e.g., 2%) silica layer is left between each hole and



the cladding boundary, the silica bridge layer collapses in a more uniform manner to generate the broader, shallower indentations of FIG. 4D.

Calculations analogous to those set forth above with respect to FIG. 3 indicate that the shape of FIG. 4C has an efficiency comparable to a regular octagon, while the shape of FIG. 4D is somewhat more efficient, exhibiting an efficiency comparable to a regular hexagon. The sharper peaks of FIG. 4C cause stronger deflections, but it is believed that the greater number of rays deflected by the broader peaks of FIG. 4D result in the difference in efficiencies of the two shapes.

An advantage of the technique illustrated by FIG. 4 is that the drawing process does not produce interfacial bubbling of the fluorosilicate glass of cladding layer 70, which might occur in some situations. Instead, shifting of the holes to the region just inside the boundary between cladding layers 68, 70 provides the thin layer of undoped fused silica that protects the fluorosilicate glass to prevent outgassing and the resulting bubbling that could occur.

Another approach to the problem is to leave the core diameter intact but to introduce perturbations into the fiber index. This could be done, for example, by inserting rods with higher refractive indices in place of the silica rods in FIG. 3. These rods would act as cylindrical lenses to refocus the skew rays to new values of  $r_{ic}$ , as do the cylindrical mirrors of the previously described technique. An advantage of this technique is that the rods do not need to extend outside of the round cladding boundary, but instead will be effective if they are merely tangent to the interface. There are some limitations to this technique, however. For example, the rod of radius  $r_b$  that has a focal length  $r_b/2$  as a reflector, has a focal length of  $(r_b/2)(n/\Delta n)$  as a refractive rod with a refractive index of  $n + \Delta n$ , where  $n$  is the refractive index of the original material. Since in most practical cases,  $\Delta n \ll n$ , the reflector will be much more strongly focusing than the lens, and effectiveness of the refractive technique will be much lower than that of the reflective technique. Nonetheless, it may provide a practical alternative in some cases, especially if large values of  $\Delta n$  are achievable.

A second related technique is to introduce stresses into the first cladding region so that the stress-induced index changes perturb the skew ray trajectories. Stresses could be introduced by adding stress members such as borosilicate rods that are inserted into the region outside the waveguide. This has the advantage of providing perturbations that are all external to the guiding region so that no extra losses are introduced. Stress introduces both index changes and birefringence, and both can perturb the ray trajectories. The index changes will produce lensing analogous to that produced by the rod lenses, although shapes of the index distortions are unlikely to be conveniently round and lens-like, so the exact deflections will be difficult to calculate. Birefringence can cause the reflections to occur at angles different from the usual law

of reflection. This is analogous to double refraction. The magnitude of the index changes that can be achieved by stress is quite small, as distortions large enough to change the material density appreciably also tend to cause fracture in a brittle material like glass. Thus, it is unlikely that the perturbations achieved by this technique will be as effective as those created by the reflective technique. Nonetheless, it may be a useful technique in some cases, especially when loss is an important issue.

### EXAMPLES

A cladding pumped YEDFA:

In principle, a 1 watt laser diode at 950 nm (model 6360 from SDL, Inc., San Jose, CA) can be coupled to 60/125, 0.22 *NA*, all-silica multimode fiber with an efficiency approaching 100%; an actual coupling efficiency of at least 80% is reported using a lens system sold by LIMO-Lissotschenko Mikrooptik, GmbH, Dortmund, Germany. This produces an 800 mW output that can then be relayed through a pair of graded refractive index lenses (Selfoc GRIN lenses made by Nippon Sheet Glass Ltd., Tokyo, Japan) into an 80/125, 0.22 *NA*, all-silica multimode fiber. The increase in fiber diameter is required to accommodate spherical aberration in the GRIN lenses. Note that this is equivalent to the imaging system found in the filter wavelength division multiplexer (FWDM) made by E-Tek Dynamics, Inc. of San Jose, CA, where a single GRIN lens and a reflector are used to couple a pump fiber to an adjacent output fiber. Although this imaging system was intended for single-mode fiber, it should, allowing for some aberrations, work equally well for multimode fibers.

The other input to the FWDM is a single-mode fiber (SMF-28), having an output that is collimated with a second GRIN lens, transmitted through the (dichroic) reflector and refocused into the (ordinarily SMF-28) output fiber. If the output fiber of the FWDM is replaced with a double-clad gain fiber with an *NA* of 0.22,  $r_0 = 40$  mm, a fundamental mode matched to SMF-28, and an outside diameter of 125 mm, then both inputs to the FWDM will be coupled to the output fiber, which will serve as an amplifier.

A multimode preform can be obtained from Hereus-Amersil, Inc. (Duluth, GA). The multimode preform, which comprises a fused silica rod with a diameter of 20 mm, is surrounded by a 5.6 mm thick layer of a fluorosilicate material with a refractive index that is 0.017 lower than that of undoped fused silica. Using an ultrasonic mill, 5 holes are drilled parallel with the axis of the rod. One is centered on the axis, and the other four are symmetrically arranged with their centers 9 mm from the axis. The rods to be inserted in the 4 radial holes are made from fused silica. The rod to be inserted in the central hole is a preform made by MCVD and solution doping techniques.

On the inside wall of a silica tube with a cross-sectional area of  $8.64 \text{ mm}^2$ , a germanosilicate frit with a cross-sectional area of  $7.07 \text{ mm}^2$  is prepared and solution doped with  $\text{Co}^{2+}$  from an aqueous solution. This layer will have a  $\text{Co}^{2+}$  concentration of 100 ppmw and a refractive index that is 0.008 greater than that of pure fused silica. This layer is dried and sintered as usual, and then a germanosilicate layer with a cross-sectional area of  $9.42 \text{ mm}^2$  and with the same refractive index is applied over the first layer. Next, a phosphosilicate frit with a cross-sectional area of  $3.14 \text{ mm}^2$  is applied, solution doped with Yb and Er, and then dried and sintered. The preform is collapsed to provide a 2 mm core of Yb and Er, and the core is surrounded by a 4 mm diameter Ge doped region, a 5 mm diameter Co doped region, and a 6 mm outer diameter layer of undoped fused silica.

This tube is then inserted in the central hole, and the entire preform is heated to fuse the rods into the holes. The preform is subsequently drawn to a 125 mm outer diameter using conventional techniques. A length of 2 to 10 meters will be sufficient to absorb a 950 nm pump and will provide gain in excess of 50 dB.

A second example would be a higher power laser pumped at the absorption maximum of 975 nm to yield performance similar to a 1064 nm-pumped device. In this case, an area ratio of 1250 combined with an 8.3 mm core would permit the use of a 290 mm outer waveguide. This is well-matched to the 10 watt fiber-coupled diode bars that are manufactured by Opto Power Corporation of Tucson, AZ and that emit from a 250 mm aperture with an  $NA$  of less than 0.22. These devices are available at 975 nm but must be temperature tuned to match the peak. The construction of the amplifier is similar to the previous device except that the outer waveguide of the fiber needs to be bigger. In addition, the larger fiber size may require some modification of the commercial FWDM.

While the preferred embodiments of the invention have been illustrated and described, it will be clear that the invention is not so limited. Numerous modifications, changes, variations, substitutions and equivalents will occur to those skilled in the art without departing from the spirit and scope of the present invention as defined by the appended claims.

What is claimed is:

**CLAIMS**

1. A optical fiber, comprising:

- 5 a core region formed of a doped glass material, the core region adapted to absorb radiation at a first wavelength and provide gain at a second wavelength;
- a first cladding layer having an outer boundary, and disposed around the core region, the first cladding layer having a plurality of irregularities found at least along the outer boundary such that the outer boundary is radially constrained and quasi-circular; and
- 10 a second cladding layer having an inner and outer boundary, the inner boundary conforming to the outer boundary of the first cladding layer.

2. The optical fiber of claim 1, wherein the core region is doped with an element selected from the group of Ce, Yb, Er, P, Nd, and combinations thereof.

15 3. The optical fiber of claim 1, wherein the first cladding layer is fabricated of silica.

4. The optical fiber of claim 1, wherein the second cladding layer is fabricated of fluorine doped silica.

20 5. The optical fiber of claim 1, wherein the irregularities at the outer boundary of the first cladding layer comprise multiple regularly spaced lobes.

6. The optical fiber of claim 1, wherein the irregularities comprise a series of protrusions extending away from said core region.

25 7. The optical fiber of claim 6, wherein the protrusions are a plurality of semi-circular protrusions.

8. The optical fiber of claim 1, wherein the irregularities comprise a series of stressed  
30 regions introduced into the first cladding layer.

9. An optical fiber, comprising:

a centrally disposed core region adapted to absorb radiation at a first wavelength and provide gain at a second wavelength, the radiation having at least one mode;  
an intermediate cladding layer disposed around the core region;  
5 a first cladding layer having an outer boundary and disposed around the intermediate cladding layer, the first cladding layer having a plurality of irregularities formed at least along the outer boundary such that the outer boundary is radially constrained and quasi-circular; and  
a second cladding layer disposed around the first cladding layer,  
wherein the refractive index of the intermediate cladding layer is between that of the core  
10 region and the first cladding layer.

10. The optical fiber of claim 9, wherein the intermediate cladding layer comprises:

an inner transparent region adjacent to the core region; and  
an outer absorbing region adjacent to the first cladding region, the outer absorbing region  
15 absorbing radiation at a predetermined wavelength, wherein the outer absorbing region selectively attenuates modes propagating in the core region in favor of propagation of a fundamental mode of the optical fiber.

11. A method of fabricating an optical fiber, the method comprising the steps of:

20 obtaining a first preform comprising an inner layer, an outer layer, and a boundary between the inner and outer layers;  
drilling a plurality of holes within the inner layer adjacent to the boundary;  
forming a center hole at the center of the first preform within the inner layer;  
inserting a second preform through the center hole of the first preform; and  
25 drawing the first and second preforms such that the boundary between the inner and outer layers collapses into each hole to form a plurality of irregularities along the boundary, wherein the boundary is formed into a radially constrained, quasi-circular shape.

12. The method of claim 11, wherein the inner layer is formed from undoped fused silica.

13. The method of claim 11, wherein the second preform forms a core of the optical fiber.

14. The method of claim 13, wherein the inner region forms a first cladding layer disposed about the core of the optical fiber.

15. The method of claim 14, wherein the outer region forms a second cladding layer disposed about the first cladding layer.

16. The method of claim 15, wherein the drilling step comprises the step of:  
5 drilling a plurality of holes within the inner layer adjacent to the boundary so that, prior to the drawing step, an amount of inner layer material remains present between each hole and the boundary.

17. The method of claim 16, wherein the distance between each hole and the boundary is  
10 about 1-2% of the diameter of the first preform.

18. The method of claim 16, wherein the holes are equally spaced around the center of the first preform.

19. The method of claim 18, wherein the holes each have a diameter of about 10% of the  
15 diameter of the first preform.

20. The method of claim 19, wherein eight holes are drilled in the inner layer of the first  
preform.  
20

1/3

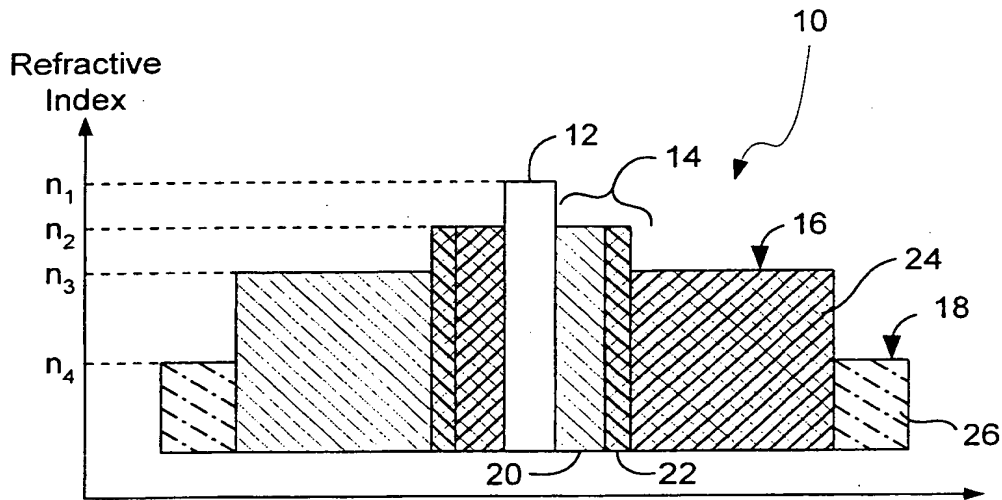


FIG. 1

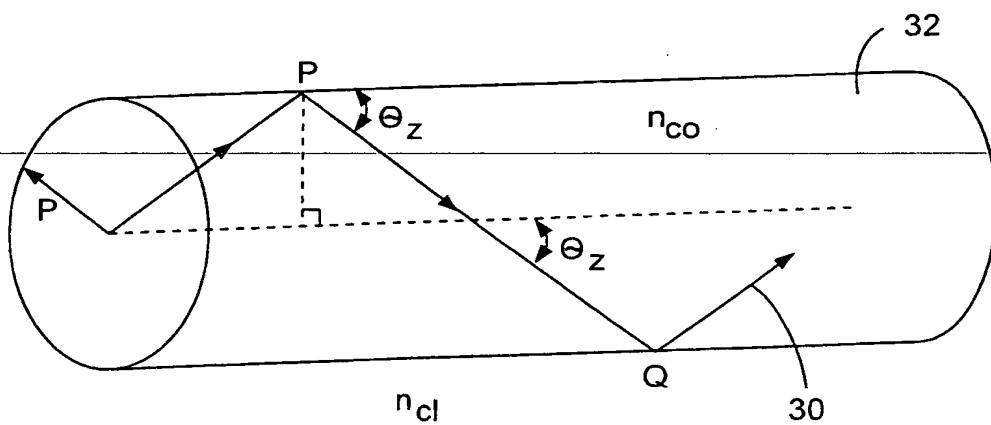


FIG. 2A

2/3

FIG. 2B

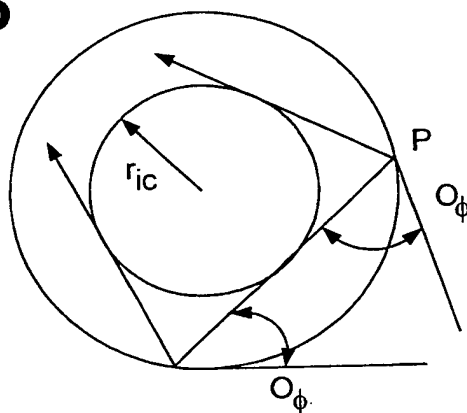


FIG. 3A

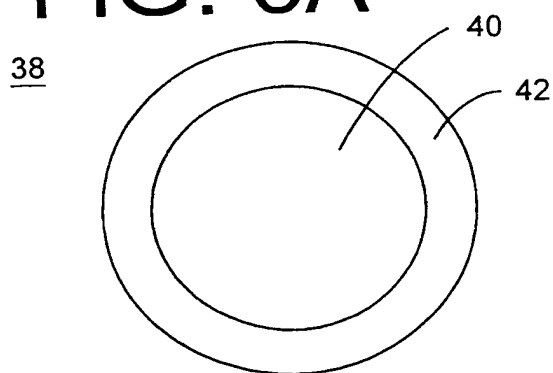


FIG. 3B

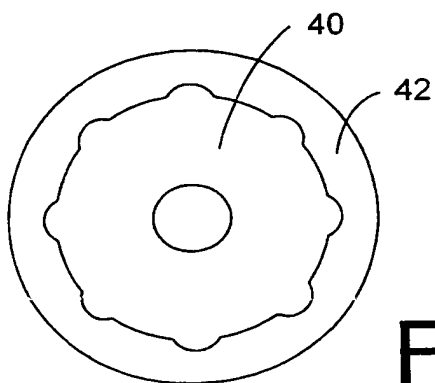
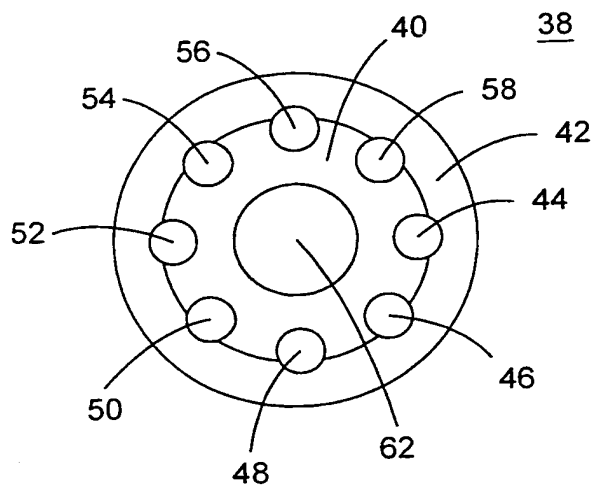


FIG. 3C



FIG. 4A

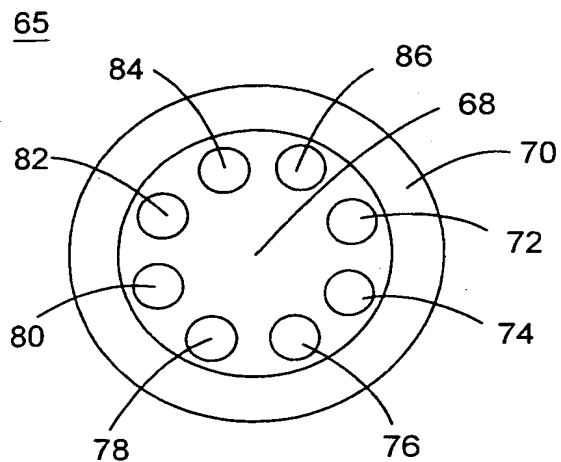


FIG. 4B

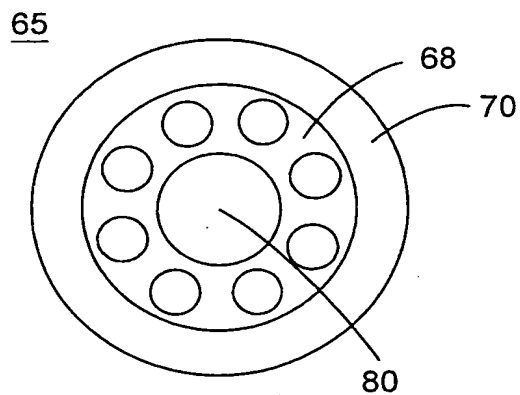


FIG. 4C

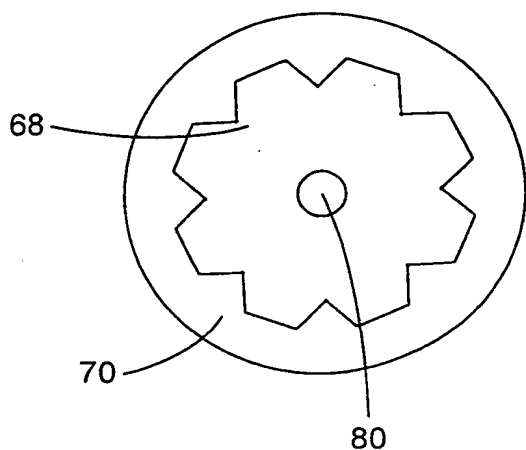
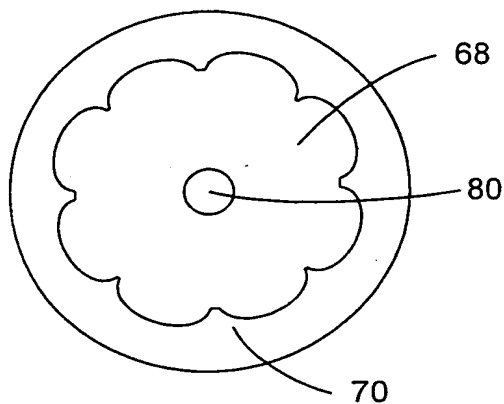


FIG. 4D



# INTERNATIONAL SEARCH REPORT

Intern. al Application No

PCT/US 00/31902

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C03B37/012 C03B37/027 G02B6/22 H01S3/067 G02B6/10  
C03B37/018

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C03B G02B H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 918 382 A (LUCENT TECHNOLOGIES INC) 26 May 1999 (1999-05-26) paragraph '0017!; claims 1-4,6; figures 2,3B,3D	1-8
X	EP 0 776 074 A (AT&T CORP) 28 May 1997 (1997-05-28)	1-7
A	claims 1-20; figures 1-3; examples 1,2	11
X	US 5 756 209 A (HALE A) 26 May 1998 (1998-05-26) column 11, line 63 -column 12, line 3; claims 1,17; figure 2	1,3
P, X	EP 1 043 816 A (LUCENT TECHNOLOGIES INC) 11 October 2000 (2000-10-11) paragraphs '0040!-'0044!; figures 3B,4A,4B,5	1-4,8
	--- -/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

22 February 2001

Date of mailing of the international search report

01 03 2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Stroud, J

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/31902

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 533 163 A (MUENDEL M H) 2 July 1996 (1996-07-02) cited in the application abstract ---	1
A	US 5 790 735 A (OLESKEVICH T ET AL) 4 August 1998 (1998-08-04) abstract ---	1
A	EP 0 484 659 A (CORNING INC) 13 May 1992 (1992-05-13) abstract; figure 8 ---	11
A	WO 97 48648 A (CORNING INC) 24 December 1997 (1997-12-24) claims 1,2,9 -----	11

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 00/31902

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.2

Present claims 1-20 relate to a large number of possible products and methods of making them.

Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only some of the products and methods claimed (namely those defining an optical core and cladding made of glass).

In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible (i.e. without meaning). Consequently, the search has been carried out for those parts of the claims which appear to be fully supported and sufficiently disclosed, namely those parts relating to the optical fibres comprising a glass optical core and cladding and their methods of manufacture from a glass preform.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/31902

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0918382	A	26-05-1999	US 5949941 A JP 11223734 A	07-09-1999 17-08-1999
EP 0776074	A	28-05-1997	DE 69604042 D DE 69604042 T JP 9194225 A US 5966491 A US 5873923 A	07-10-1999 13-04-2000 29-07-1997 12-10-1999 23-02-1999
US 5756209	A	26-05-1998	EP 0850893 A JP 10197730 A	01-07-1998 31-07-1998
EP 1043816	A	11-10-2000	NONE	
US 5533163	A	02-07-1996	CA 2196188 A DE 69503249 D DE 69503249 T EP 0771481 A JP 10503885 T KR 241581 B WO 9604700 A	15-02-1996 06-08-1998 29-10-1998 07-05-1997 07-04-1998 01-02-2000 15-02-1996
US 5790735	A	04-08-1998	US 6073465 A WO 9708791 A	13-06-2000 06-03-1997
EP 0484659	A	13-05-1992	US 5152818 A CA 2054873 A DE 69125913 D DE 69125913 T DE 69132355 D DE 69132355 T EP 0732309 A EP 0738691 A HK 1000087 A JP 7010590 A	06-10-1992 10-05-1992 05-06-1997 04-12-1997 07-09-2000 28-12-2000 18-09-1996 23-10-1996 21-11-1997 13-01-1995
WO 9748648	A	24-12-1997	AU 3474697 A BR 9709768 A CA 2258229 A EP 0907618 A JP 2000512256 T	07-01-1998 10-08-1999 24-12-1997 14-04-1999 19-09-2000

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
31 May 2001 (31.05.2001)

PCT

(10) International Publication Number  
**WO 01/38244 A1**

(51) International Patent Classification<sup>7</sup>: C03B 37/012,  
37/027, G02B 6/22, H01S 3/067, G02B 6/10, C03B  
37/018

(71) Applicant: SCIENTIFIC-ATLANTA, INC. [US/US];  
Kelly A. Gardner, Scientific-Atlanta, Inc., Intel-  
lectual Property Department, 5030 Sugarloaf Parkway,  
Lawrenceville, GA 30044 (US).

(21) International Application Number: PCT/US00/31902

(22) International Filing Date:  
20 November 2000 (20.11.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/448,101 23 November 1999 (23.11.1999) US

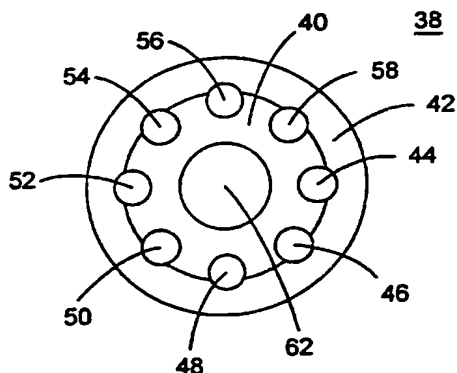
(72) Inventors: ANTHON, Douglas, W.; 925 Cherry Street,  
Wheaton, IL 60187 (US). EMSLIE, Chris; Gartref, Ro-  
man Drive, Chilworth, Southampton SO16 7HS (GB). MA-  
TON, Peter; 16 Camelia Grove, Fair Oak, Eastleigh SO50  
7GR (GB). SPEED, Ashley; 48 Elliot Rise, Hedge End,  
Southampton SO30 2RU (GB).

(74) Agents: GARDNER, Kelly A. et al.; Scientific-Atlanta,  
Inc., Intellectual Property Department (ATL 4.3.517), 5030  
Sugarloaf Parkway, Lawrenceville, GA 30044 (US).

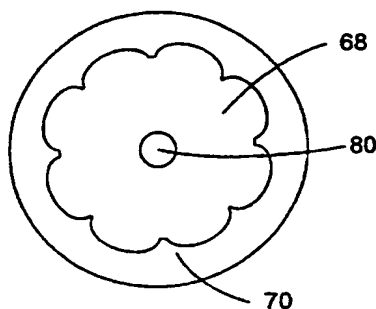
(81) Designated State (*national*): BR.

[Continued on next page]

(54) Title: OPTICAL FIBER WITH IRREGULARITIES AT CLADDING BOUNDARY AND METHOD OF ITS FABRICATION



(57) Abstract: An optical fiber (10) made with a central core (12), a first cladding layer (16), and a second cladding layer (18) having a series of perturbations or irregularities formed into the otherwise generally circular outer boundary of the first cladding layer (16). The irregularities in the first cladding layer (16) interrupt the propagation of skew rays and encourage coupling into the core (12). An intermediate cladding (14) may be provided between core and first cladding layer (16). A method of fabricating the optical fiber is also disclosed, comprising, drilling a plurality of holes within the first cladding forming material and at the boundary thereof with the material forming the second cladding layer, drilling a central hole and inserting a preform core rod into said central hole. Drawing integrates the rod and drilled rod and the plurality of holes are collapsed, so forming an irregular, quasi-circular boundary between first and second cladding forming layers in the drawn fiber.



WO 01/38244 A1



(84) **Designated States (regional):** European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

**Published:**

— *With international search report.*

(48) **Date of publication of this corrected version:**

28 June 2001

(15) **Information about Correction:**

see PCT Gazette No. 26/2001 of 28 June 2001, Section II

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

**THIS PAGE BLANK (USPTO)**